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Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 18, 2001

PTAS



\*101865723A\*

IRELL & MANELLA LLP  
RACHELE WITTWER  
1800 AVENUE OF THE STARS  
SUITE 900  
LOS ANGELES, CA 90067

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/10/2001

REEL/FRAME: 012070/0728  
NUMBER OF PAGES: 5

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE NAME OF THE FIRST ASSIGNOR FILED ON 6-11-01, RECORDED ON REEL 011884 FRAME 0500 ASSIGNOR HEREBY CONFIRMS THE ASSIGNMENT OF THE ENTIRE INTEREST.

ASSIGNOR:  
BAKER, TAMARA C.

DOC DATE: 05/16/2001

ASSIGNOR:  
HILLIARD, WILLIAM J.

DOC DATE: 05/16/2001

ASSIGNEE:  
E-COLOR, INC.  
10 UNITED NATIONS PLAZA, 5TH FLOOR  
SAN FRANCISCO, CALIFORNIA

SERIAL NUMBER: 09827018  
PATENT NUMBER:

FILING DATE: 04/05/2001  
ISSUE DATE:

RECEIVED  
MAR 21 2003  
Technology Center 2100

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MAR 21 2003  
Technology Center 2100

012070/0728 PAGE 2

LAZENA MARTIN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

10-17-2001

Form PTO-1595

1-31-92

RECORD



101865723

ET

U.S. Department of Commerce

Patent and Trademark Office

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Tamara C. Baker and William J. Hilliard**

Additional name(s) of conveying party(ies) attached?

Yes ☐No ☒

3. Nature of conveyance:



Assignment



Security Agreement



Merger



Change of Name

Execution Date:

05/16/01

2. Name and address of receiving party(ies):

**E-Color, Inc.**

Street Address:

**10 United Nations Plaza, 5th Floor**

City / State:

**San Francisco, California**

Additional name(s) of receiving party(ies) attached?

Yes ☐No ☒

4. Application number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

**09/827,018**

B. Patent No.(s)

Additional numbers attached?



Yes



No

5. Name and address of party to whom correspondence concerning documents should be mailed:

Name: **Rachele Wittwer**Address: **Irell & Manella LLP  
1800 Avenue of the Stars  
Suite 900**City: **Los Angeles**State: **CA**Zip: **90067**6. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00

Enclosed



Authorized to charge to deposit account

8. Deposit account number: **09-0946****DO NOT USE THIS SPACE**9. **Statement and signature:** To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.**Robert Popa**

Name of person signing

Signature

**September 10, 2001**

Date

Total number pages including cover sheet, attachments, and documents: 4

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101865723

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**MAR 21 2003**  
**Technology Center 2100**

JUN 11 2001

MAR 10 2003

06-15-2001

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1-31-92

6-11-01

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101750136

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Patent and Trademark Office

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1. Name of conveying party(ies):

**Tamara L. Baker and William J. Hilliard**

Additional name(s) of conveying party(ies) attached?

Yes ☐No ☒

2. Name and address of receiving party(ies):

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Street Address:

**10 United Nations Plaza, 5th Floor**

City / State:

**San Francisco, California**

Additional name(s) of receiving party(ies) attached?

Yes ☐No ☒

3. Nature of conveyance:



Assignment



Security Agreement



Merger



Change of Name

Execution Date: 05/16/01

4. Application number(s) or Patent Number(s):

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**09/827,018**

B. Patent No.(s)

Additional numbers attached?



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1800 Avenue of the Stars  
Suite 900**City: **Los Angeles**State: **CA**Zip: **90067**6. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00

Enclosed



Authorized to charge to deposit account

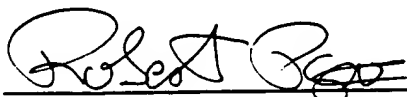
8. Deposit account number: **09-0946**

## DO NOT USE THIS SPACE

9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Robert Popa**

Name of person signing



Signature

**June 7, 2001**

Date

Total number pages including cover sheet, attachments, and documents: 4

06/13/2001 GTEFFERA 00000018 09827018

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**ASSIGNMENT**

This assignment is made by <sup>6.</sup> Tamara Baker and William J. Hilliard Assignors, to E-Color, Inc., Assignee, having a place of business at 10 United Nations Plaza, 5th Floor, San Francisco, California 94102-4966.

WHEREAS, Assignors have invented a new and useful invention entitled Method and Apparatus for Distributing Color Corrected Images Across a Network Using Distributed Caching for which an application for United States Letters Patent

☒ was filed on 04/05/01 and given Application No. 09/827,018  
☐ is being filed herewith; and

WHEREAS, Assignors believe themselves to be the original, first and sole inventor of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and of other good and valuable consideration the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign and transfer to Assignee, the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights in which such applications are entitled under international conventions, treaties, or otherwise.

Further, Assignors agree that, upon request and without further compensation, but at no expense to Assignors, their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as to the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on this  
16 day of MAY, 2007.

ASSIGNOR,

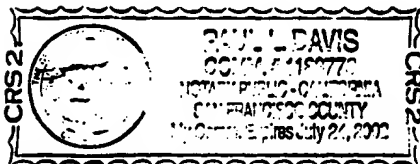
Tamara Baker  
 C.

State of California )

County of San Francisco ) ss

On this 16 day of MAY, 2007, before me personally appeared TAMARA C. BAKER, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

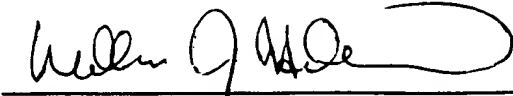
IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.



[Signature]  
 Notary Public

IN WITNESS WHEREOF, Assignor has executed this Assignment on this  
16 day of MAY, 2001.

ASSIGNOR,



William J. Hilliard

State of California )  
 County of SAN FRANCISCO ) ss

On this 16 day of MAY, 2001, before me personally appeared WILLIAM J. HILLIARD, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year in this certificate first above written.

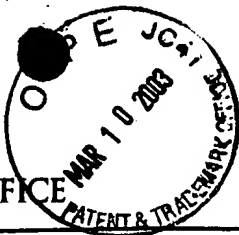


Notary Public





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PATENT AND  
TRADEMARK OFFICE



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MAR 21 2003  
Technology Center 2100

Commissioner for Trademarks  
Arlington, VA 22202-3513  
www.uspto.gov

JANUARY 27, 2003

PTAS

JOHN A. SMART  
708 BLOSSOM HILL RD., #201  
LOS GATOS, CA 95032-3503



\*102251372A\*

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RECORDATION DATE: 10/09/2002

REEL/FRAME: 013380/0051  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
E-COLOR, INC.

DOC DATE: 07/12/2002

ASSIGNEE:  
LIGHTSURF TECHNOLOGIES, INC. A  
CORPORATION OF CALIFORNIA  
110 COOPER STREET, 4TH FLOOR  
SANTA CRUZ, CALIFORNIA 95060

SERIAL NUMBER: 09095089  
PATENT NUMBER:

FILING DATE: 06/10/1998  
ISSUE DATE:

SERIAL NUMBER: 60108229  
PATENT NUMBER:

FILING DATE: 11/13/1998  
ISSUE DATE:

SERIAL NUMBER: 60108444  
PATENT NUMBER:

FILING DATE: 11/13/1998  
ISSUE DATE:

SERIAL NUMBER: 60104839  
PATENT NUMBER:

FILING DATE: 10/19/1998  
ISSUE DATE:



SERIAL NUMBER: 60108231  
PATENT NUMBER:

FILING DATE: 11/13/1998  
ISSUE DATE:

SERIAL NUMBER: 09422215  
PATENT NUMBER:

FILING DATE: 10/19/1999  
ISSUE DATE:

SERIAL NUMBER: 60108228  
PATENT NUMBER:

FILING DATE: 11/13/1998  
ISSUE DATE:

SERIAL NUMBER: 60108442  
PATENT NUMBER:

FILING DATE: 11/13/1998  
ISSUE DATE:

SERIAL NUMBER: 09439482  
PATENT NUMBER:

FILING DATE: 11/12/1999  
ISSUE DATE:

SERIAL NUMBER: 09440013  
PATENT NUMBER:

FILING DATE: 11/12/1999  
ISSUE DATE:

SERIAL NUMBER: 60195658  
PATENT NUMBER:

FILING DATE: 04/07/2000  
ISSUE DATE:

SERIAL NUMBER: 09603520  
PATENT NUMBER:

FILING DATE: 06/23/2000  
ISSUE DATE:

SERIAL NUMBER: 09815236  
PATENT NUMBER:

FILING DATE: 03/22/2001  
ISSUE DATE:

SERIAL NUMBER: 10106311  
PATENT NUMBER:

FILING DATE: 03/26/2002  
ISSUE DATE:

SERIAL NUMBER: 60191728  
PATENT NUMBER:

FILING DATE: 03/24/2000  
ISSUE DATE:

SERIAL NUMBER: 09603385  
PATENT NUMBER:

FILING DATE: 06/23/2000  
ISSUE DATE:

SERIAL NUMBER: 09803219  
PATENT NUMBER:

FILING DATE: 03/07/2001  
ISSUE DATE:

SERIAL NUMBER: 09827018  
PATENT NUMBER:

FILING DATE: 04/05/2001  
ISSUE DATE:

SERIAL NUMBER: 60375158  
PATENT NUMBER:

FILING DATE: 04/24/2002  
ISSUE DATE:

SERIAL NUMBER: 60191908  
PATENT NUMBER:

FILING DATE: 03/24/2000  
ISSUE DATE:

SERIAL NUMBER: 09603445  
PATENT NUMBER:

FILING DATE: 06/23/2000  
ISSUE DATE:

SERIAL NUMBER: 09809385  
PATENT NUMBER:

FILING DATE: 03/15/2001  
ISSUE DATE:

013380/0051 PAGE 3

SERIAL NUMBER: 60352948  
PATENT NUMBER:

FILING DATE: 01/29/2002  
ISSUE DATE:

SERIAL NUMBER: 10139901  
PATENT NUMBER:

FILING DATE: 05/07/2002  
ISSUE DATE:

SERIAL NUMBER: 60281077  
PATENT NUMBER:

FILING DATE: 04/03/2001  
ISSUE DATE:

SERIAL NUMBER: 60198041  
PATENT NUMBER:

FILING DATE: 04/18/2000  
ISSUE DATE:

SERIAL NUMBER: 60281198  
PATENT NUMBER:

FILING DATE: 04/03/2001  
ISSUE DATE:

SERIAL NUMBER: 60191727  
PATENT NUMBER:

FILING DATE: 03/24/2000  
ISSUE DATE:

SERIAL NUMBER: 60281078  
PATENT NUMBER:

FILING DATE: 04/03/2001  
ISSUE DATE:

SERIAL NUMBER: 60191900  
PATENT NUMBER:

FILING DATE: 03/24/2000  
ISSUE DATE:

SERIAL NUMBER: 08338041  
PATENT NUMBER: 5638117

FILING DATE: 11/14/1994  
ISSUE DATE: 06/10/1997

SERIAL NUMBER: 09440017  
PATENT NUMBER: 6392657

FILING DATE: 11/12/1999  
ISSUE DATE: 05/21/2002

SERIAL NUMBER:  
PATENT NUMBER:  
PCT NUMBER: US9924577

FILING DATE:  
ISSUE DATE:

SERIAL NUMBER:  
PATENT NUMBER:  
PCT NUMBER: US9926943

FILING DATE:  
ISSUE DATE:

SERIAL NUMBER:  
PATENT NUMBER:  
PCT NUMBER: US0207072

FILING DATE:  
ISSUE DATE:

SHAREILL COLES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Docket No. LS/004X.xx

FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

10-17-2002

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Patent and Trademark Office  
OFFICE OF PUBLIC RECORDS  
PATENT

2002 OCT -9 PM 1:35

RECORDATION FORM COVER SHEET  
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Submission Type

☒ New

☐ Resubmission (Non-Recordation)  
Document ID#

☐ Correction of PTO Error

Reel # Frame #

☐ Corrective Document

Reel # Frame #

Conveyance Type

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☐ Other

U.S. Government  
(For Use ONLY by U.S. Government Agencies)

☐ Departmental File

☐ Secret File

Conveying Party(ies)

☐ Mark If additional names of conveying parties attached

Name (line 1) E-Color, Inc.

Execution Date  
Month Day Year  
07-12-2002

Name (line 2)

Second Party

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Receiving Party

☐ Mark If additional names of receiving parties attached

Name (line 1) LightSurf Technologies, Inc.

☐ If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic  
representative is attached.  
(Designation must be a  
separate document from  
Assignment.)

Name (line 2) A corporation of California

Address (line 1) 110 Cooper Street, 4th Floor

Address (line 2)

Address (line 3) Santa Cruz

CA

State/Country

95060

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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10/16/2002 DBYRNE 00000185 501386 09095089

01 FC:0021 1400.00 CH

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignment, Washington, D.C. 20231

## Correspondent Name and Address

Area Code and Telephone Number (408) 395-8819

Name John A. Smart

Address (line 1)

Address (line 2) 708 Blossom Hill Rd., #201

Address (line 3) Los Gatos, CA 95032-3503

Address (line 4)

## Pages

Enter the total number of pages of the attached conveyance document including any attachments.

# 3

## Application Number(s) or Patent Number(s)

☒ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

## Patent Application Number(s)

09/095,089

60/104,839

60/108,228

60/108,229

60/108,231

60/108,442

60/108,444

09/422,215

09/439,482

## Patent Number(s)

5,638,117

6,392,657

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

## Patent Cooperation Treaty (PCT)

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

## Number of Properties

Enter the total number of properties involved.

# 35

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

1,400

Method of Payment:

Deposit Account

Enclosed ☐Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 501386

Authorization to charge additional fees:

Yes

☒

No

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## Statement and Signature

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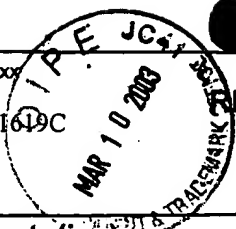
John A. Smart

Name of Person Signing

Signature

October 4, 2002

Date

FORM PTO-1619C  
Expires 06/30/99  
OMB 0651-0027RECORDATION FORM COVER SHEET  
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Patent and Trademark Office  
PATENT

## Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Execution Date  
Month Day YearExecution Date  
Month Day YearRECEIVED  
MAR 21 2003  
Technology Center 2100

## Receiving Party(ies)

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receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic representative  
is attached. (Designation  
must be a separate  
document from  
Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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receiving party is not  
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States, an appointment of a  
domestic representative is  
attached. (Designation must  
be a separate document from  
Assignment.)

## Application Number(s) or Patent Number(s)

☒ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

## Patent Application Number(s)

## Patent Number(s)

09/440,013

60/191,728

60/191,908

60/195,658

09/603,385

09/603,445

09/603,520

09/803,219

09/809,385

09/815,236

09/827,018

60/352,948

10/106,311

60/375,158

10/139,901

Docket No. LS/004X:xx

FORM PTO-1619C  
Expires 06/30/99  
OMB 0651-0027RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLYU.S. Department of Commerce  
Patent and Trademark Office  
PATENT

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Month Day Year

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Name (line 2)

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Execution Date  
Month Day YearExecution Date  
Month Day Year

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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receiving party is not  
domiciled in the United  
States, an appointment  
of a domestic representative  
is attached. (Designation  
must be a separate  
document from  
Assignment.)☐ If document to be recorded  
is an assignment and the  
receiving party is not  
domiciled in the United  
States, an appointment of a  
domestic representative is  
attached. (Designation must  
be a separate document from  
Assignment.)

## Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

## Patent Application Number(s)

60/281,077

60/281,198

60/281,078

60/198,041

60/191,727

60/191,900

PCT/US99/24577

PCT/US99/26943

PCT/US02/07072

## Patent Number(s)

## PATENT ASSIGNMENT

WHEREAS, **E-Color, Inc.**, hereinafter "Assignor", a Delaware corporation having a principal office at 10 United Nations Plaza, 6<sup>th</sup> floor, San Francisco, CA 94102, is the owner of the entire right, title and interest in and to certain patents and patent applications listed on SCHEDULE A annexed hereto (collectively referred to as the "Patents"); and

WHEREAS, **LightSurf Technologies, Inc.**, hereinafter "Assignee", a California corporation having a principal office at 110 Cooper Street, 4th Floor, Santa Cruz, CA 95060, is desirous of acquiring all right, title, and interest in and to the Patents.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee the entire right, title and interest in and to the Patents throughout the world and the inventions and designs covered thereby, including the right to claim priority and the right to any continuation, division, or substitute application thereof and the right to any reissue, restoration, extension or reexamination of any patent thereof, the same to be held and enjoyed by Assignee for its own use and enjoyment, and for the use and enjoyment of its successors, assigns and legal representatives, to the end of the terms for which the Patents have been or will be granted, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment had not been made; together with all claims by Assignor for damages by reason of past infringement of the Patents with the right to sue for, and collect the same for its own use and benefit, and for the use and benefit of its successors, assigns and other legal representatives.

Assignor agrees that when requested by Assignee it will, at the cost of Assignee, execute all documents necessary or desirable to properly vest full right, title and interest in and to all Patents throughout the world in the name of Assignee or which, in the sole judgment of Assignee, may be necessary to obtain, maintain, issue or enforce said Patents.

IN WITNESS WHEREOF, Assignor has caused this Patent Assignment to be executed and delivered as of this 12 day of July, 2002.

E-COLOR, INC.

By: 

Name: William Hillard

Title: President

## SCHEDULE A

### **1. United States Patents**

<u>Issue Date</u>	<u>Patent No.</u>	<u>Title</u>
06/10/99	5,638,117	Interactive method and system for color characterization and calibration of display device
05/21/02	6,392,657	Method and Apparatus for Characterizing an Optimal Viewing Angle of a Display (as amended)

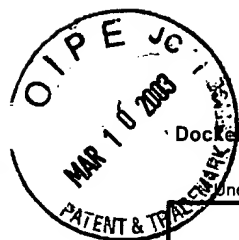
### **2. United States Patent Applications**

<u>Filing Date</u>	<u>Appl. Serial No.</u>	<u>Title</u>
06/10/98	09/095,089	Interactive Color Characterization
10/19/98	60/104,839	Color Correction Notification
11/13/98	60/108,228	Calibrate and Characterize an Image Capture Device
11/13/98	60/108,229	Display I/O Characterization
11/13/98	60/108,231	Optimal Viewing Angle Characterization
11/13/98	60/108,442	Cookie Sharing Across Domains
11/13/98	60/108,444	Remote Characterization of Color Display Devices
10/19/99	09/422,215	Method and Apparatus for Displaying Notification that a Color Corrected Image is being Viewed
11/12/99	09/439,482	High Fidelity Color Images
11/12/99	09/440,013	Characterizing and Calibrating an Image Capture Device (as amended)
03/24/00	60/191,728	Fast Chromatic Color Correction
03/24/00	60/191,908	Flat Panel Calibration
04/07/00	60/195,658	Method and Apparatus for Distributing Color Corrected Images
06/23/00	09/603,385	Cross-Domain Information Sharing for Delivery of High Color Images
06/23/00	09/603,445	High-fidelity Image Delivery with Color Correction Notification (as amended)
06/23/00	09/603,520	Remote Color Characterization for Delivery of High Fidelity Images (as amended)
03/07/01	09/803,219	Method and Apparatus for Improved Internet Color
03/15/01	09/809,385	Method and System for Characterizing Color Display Monitor Output
03/22/01	09/815,236	Method and System for Fast Image Correction
04/05/01	09/827,018	Method and Apparatus for Distributing Color Corrected Images Across a Network Using Distributed Caching
01/29/02	60/352,948	Automatic and Efficient Image Sharing for Instant Messaging
03/26/02	10/106,311	Meta Content Distribution Network
04/24/02	60/375,158	Automated Metadata Embedder
05/07/02	10/139,901	Image Sharing for Instant Messaging
04/03/01	60/281,077	Corrected Blending Technology for Gamma Characterization
04/03/01	60/281,198	Meta Content Distribution Network
04/03/01	60/281,078	Client State and Action Signaling with Flag Images
04/18/00	60/198,041	Method of Doing Business Over a Network
03/24/00	60/191,727	Method & Apparatus for Displaying An Image Having Accurate Color Characteristics Over A Network
03/24/00	60/191,900	On Demand Reference Matching



### 3. Foreign Patent Applications

<u>Patent Office</u>	<u>Filing Date</u>	<u>Appl. Serial No.</u>	<u>Title</u>
EPO	03/21/01	01302642.2	Method and system for characterizing color display monitor output
EPO	03/23/01	01302704.0	Method and System for Fast Image Correction
EPO	04/04/01	01303207.3	Method and apparatus for distributing color corrected images across a network using distributed caching
EPO	05/13/01	99960327.7	High Fidelity Color Images
EPO	04/19/01	99953247.6	A Method and Apparatus for Displaying Notification that a Color Corrected Image Is Being Viewed
PCT	10/19/99	PCT/US99/24577	A Method and Apparatus for Displaying Notification that a Color Corrected Image Is Being Viewed
PCT	11/15/99	PCT/US99/26943	Method for Display with Color Fidelity of Images Transmitted in a Network
PCT	03/07/02	PCT/US02/07072	Method and System for Improved Internet Color



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Docket No. LS/0045.01

PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Tamara Baker et al.Application No./Patent No.: 09/827,018Filed/Issue Date: April 5, 2001Entitled: Method and Apparatus for Distributing Color Corrected Images Across a Network UsLightSurf Technologies, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Tamara Baker et al. To: E-Color, Inc.The document was recorded in the United States Patent and Trademark Office at  
Reel 012070, Frame 0728, or for which a copy thereof is attached.2. From: E-Color, Inc. To: LightSurf Technologies, Inc.The document was recorded in the United States Patent and Trademark Office at  
Reel 013380, Frame 0051, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

February 25, 2003

Date

Norman Cheung

Typed or printed name

  
Signature

Chief Financial Officer

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.